
Deep Reinforcement Learning

Seminar, Summer Term 2019

Contents

1. Who we are - Intro
2. What is (Deep) Reinforcement Learning
3. Goals of this seminar
4. Prerequisites
5. Topics
6. When to hold the presentations and other schedules

Who we are



Chris M.



Chris L.



Georgios

Introduction

Fraunhofer Institute for Integrated Circuits IIS



Erlangen/Tennenlohe



Nürnberg/Nordostpark

Introduction

Fraunhofer Institute for Integrated Circuits IIS

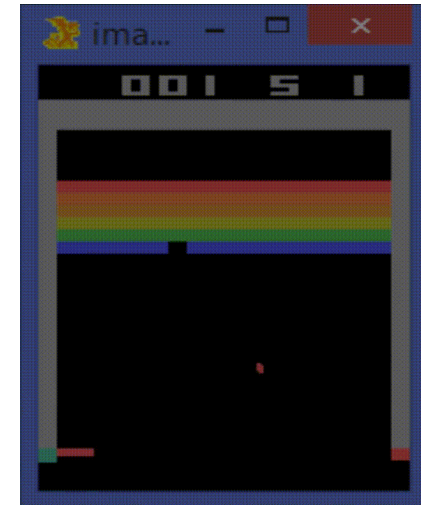
- Founding year: 1985
- Employees: about 1050
- Budget about. 180 Mio. Euro (2017)
- Financing: 74% internal financing by projects, 26% funded by state
- 11 Locations: Erlangen (headquarters), Nürnberg, Fürth, Dresden, Bamberg, Weischedel, Coburg, Würzburg, Ilmenau, Deggendorf and Passau
- Extensive networking with Universities

Reinforcement Learning

■ Playing Games with RL



<https://www.youtube.com/watch?v=lc1fl5bdZdA>



<https://www.youtube.com/watch?v=V1eYniJ0Rnk>

Reinforcement Learning

- Controlling robots with RL



<https://www.youtube.com/watch?v=0JL04JJjoCC>



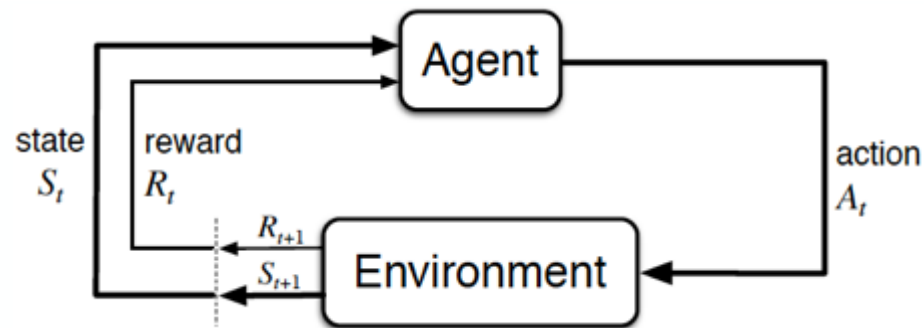
https://www.youtube.com/watch?v=W_qxLKSSsIE

Reinforcement Learning

- The RL Paradigm (reward hypothesis):

- Do you agree with following statement?

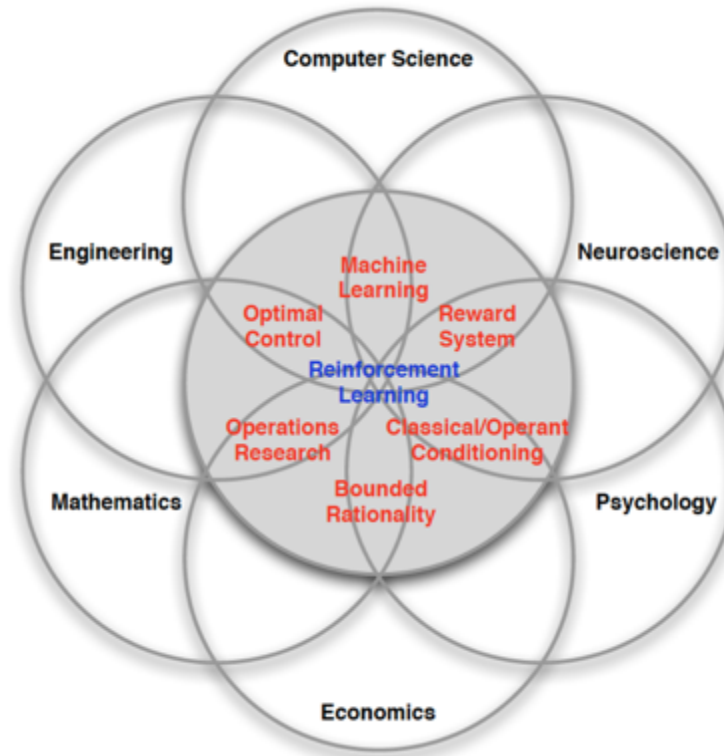
*"All goals can be described by the maximization of expected cumulative **reward**."*



Sutton, R. S., & Barto, A. G. (2018). Reinforcement learning: An introduction. MIT press.

Reinforcement Learning

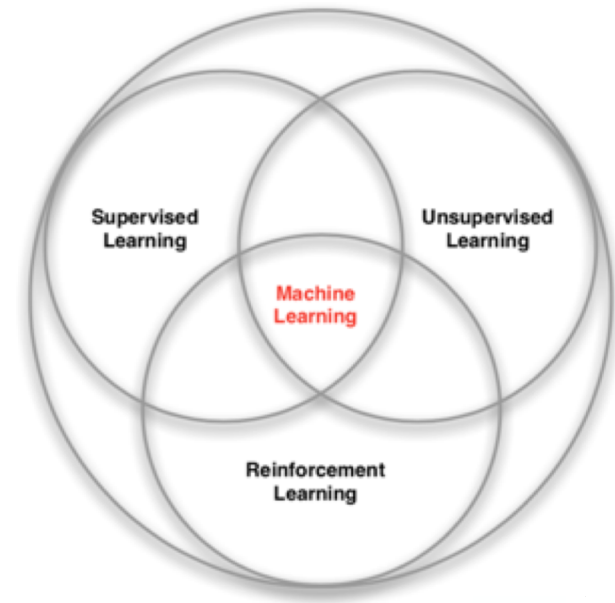
■ RL vs the world: the many faces of Reinforcement Learning



David Silver 2015

Reinforcement Learning

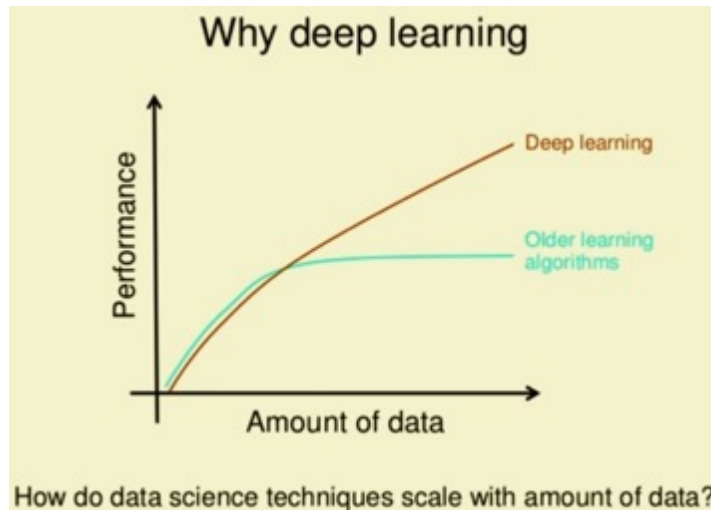
- RL vs other ML branches:
 - No teacher/supervisor, only reward signals.
 - Delayed feedback, not instantaneous (credit assignment problem).
 - Learning by interaction between environment and agent over time.
 - Agent's actions affect the environment:
Actions have consequences!!!
→ non i.i.d. aspect.
 - Active Learning process: the actions that the agent takes affect the subsequent data it receives



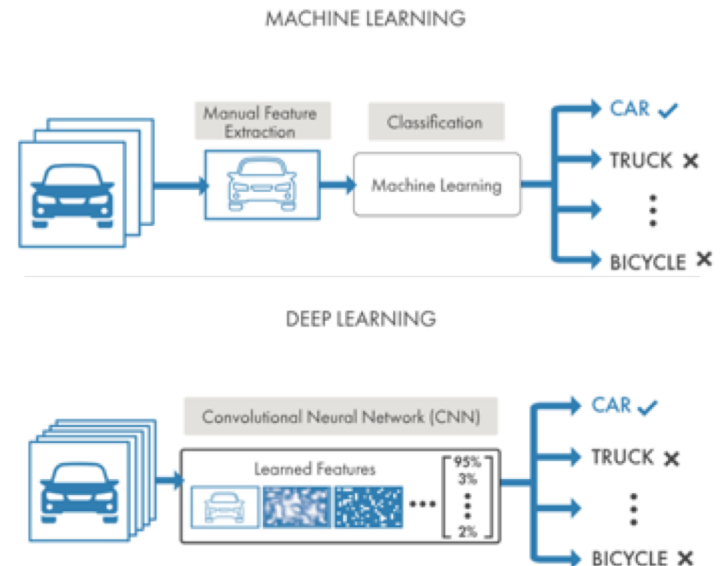
David Silver 2015

Reinforcement Learning

- Why RL now?
 - Taking advantage of advances in:
 - Deep Learning Algorithms (DL)



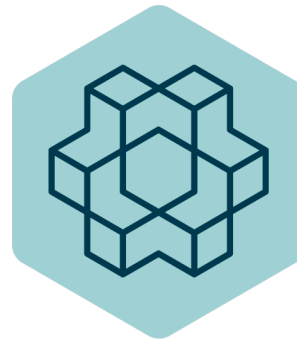
<https://towardsdatascience.com/why-deep-learning-is-needed-over-traditional-machine-learning-1b6a99177063>



<https://www.mathworks.com/discovery/deep-learning.html>

Reinforcement Learning

- Why RL now?
 - Taking advantage of advances in:
 - Deep Learning Algorithms (DL)



Reinforcement Learning

- Why RL now?
 - Taking advantage of advances in:
 - Hardware (CPU & Memory)

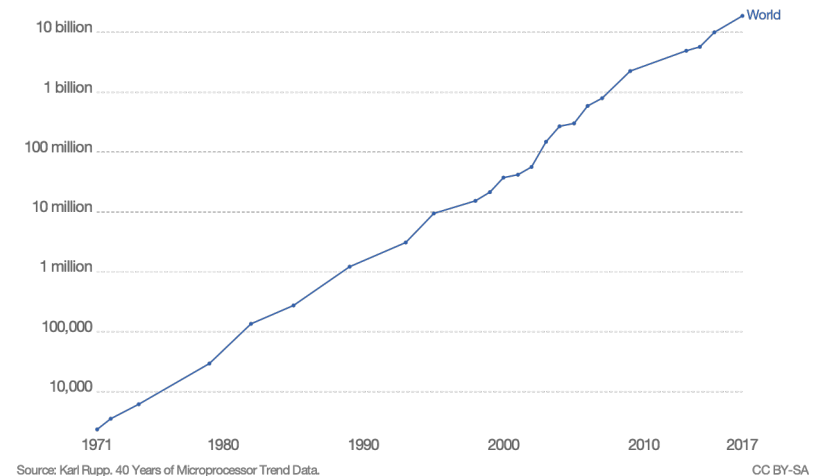
	OPENAI 1V1 BOT	OPENAI FIVE
CPU	60,000 CPU cores on Azure	128,000 preemptible CPU cores on GCP
GPU	256 K80 GPUs on Azure	256 P100 GPUs on GCP
Experience collected	~300 years per day	~180 years per day (~900 years per day counting each hero separately)

<https://blog.openai.com/openai-five/>

Moore's Law: Transistors per microprocessor

Number of transistors which fit into a microprocessor. This relationship was famously related to Moore's Law, which was the observation that the number of transistors in a dense integrated circuit doubles approximately every two years.

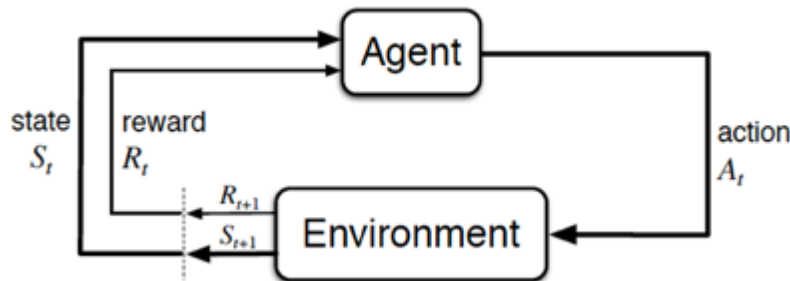
Our World
in Data



<https://ourworldindata.org/technological-progress>

Reinforcement Learning

- Agent learns by interacting with an environment over many time-steps:
- Markov Decision Process (MDP) is a tool to formulate RL problems
 - Description of an MDP $(\mathcal{S}, \mathcal{A}, \mathcal{P}, \mathcal{R}, \gamma)$:



Sutton, R. S., & Barto, A. G. (2018). Reinforcement learning: An introduction. MIT press.

- At each step t , the agent:
 - is at state S_t ,
 - performs action A_t ,
 - receives reward R_t .
- At each step t , the environment:
 - receives action A_t from the agent,
 - provides reward R_t ,
 - moves at state S_{t+1} ,
 - increments time $t \leftarrow t + 1$.

Reinforcement Learning

- Expected long-term value of state s :

$$v(s) = \mathbb{E}(G) = \mathbb{E}(R_0 + \gamma R_1 + \gamma^2 R_2 + \gamma^3 R_3 + \dots + \gamma^t R_t)$$

- **Goal: maximize the expected return $\mathbb{E}(G)$.**
- We need a controller that helps us select the actions to maximize $\mathbb{E}(G)$.
- A policy π represents this controller:
 - π determines the agent's behavior, i.e., its way of acting
 - π is a mapping from state space $\mathcal{S}$ to action space $\mathcal{A}$

$$\pi : \mathcal{S} \mapsto \mathcal{A}$$

- Two types of policies:
 - Deterministic policy: $a = \pi(s)$.
 - Stochastic policy: $\pi(a | s) = \mathbb{P}[A_t = a | S_t = s]$.
- **New goal: find a policy that maximizes the expected return!**

Reinforcement Learning

■ How do we find optimal controllers for given (known) MDPs?

■ Bellman Optimality Equation for V

$$s \xrightarrow{\pi^*(s), \mathcal{R}(s, \pi(s))} s' \xrightarrow{\pi^*(s'), R_1} s_2 \xrightarrow{\pi^*(s_2), R_2} s_3 \dots s_{h-1} \xrightarrow{\pi^*(s_{h-1}), R_{h-1}} s_h$$
$$V^{\pi^*}(s) = \max_{a \in \mathcal{A}} \left\{ \underbrace{\mathcal{R}(s, a)} + \gamma \underbrace{\sum_{s' \in \mathcal{S}} \mathcal{P}(s'|s, a) V^{\pi^*}(s')} \right\}$$

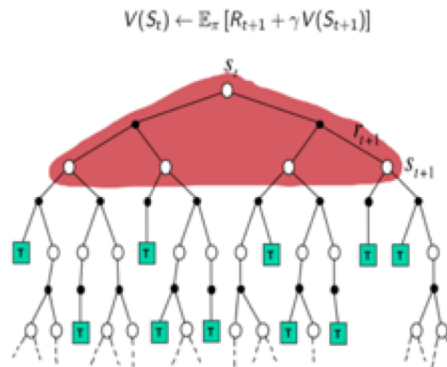
■ Bellman Optimality Equation for Q

$$s \xrightarrow{a, \mathcal{R}(s, a)} s' \xrightarrow{\pi^*(s'), R_1} s_2 \xrightarrow{\pi^*(s_2), R_2} s_3 \dots s_{h-1} \xrightarrow{\pi^*(s_{h-1}), R_{h-1}} s_h$$
$$Q^{\pi^*}(s, a) = \underbrace{\mathcal{R}(s, a)} + \gamma \underbrace{\sum_{s' \in \mathcal{S}} \mathcal{P}(s'|s, a) \max_{a' \in \mathcal{A}} Q^{\pi^*}(s', a')}$$

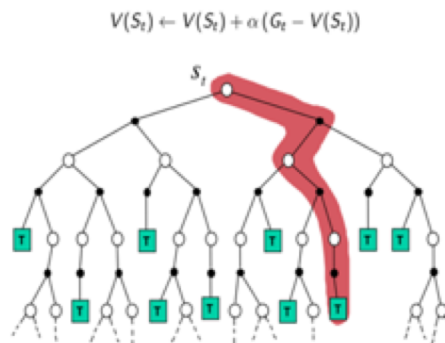
Reinforcement Learning

■ Estimate the Value Function

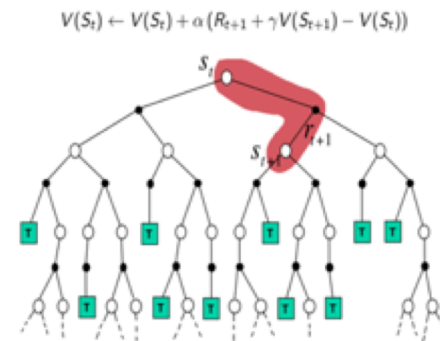
DP Backup



MC Backup



TD Backup



Sutton, R. S., & Barto, A. G. (2018). Reinforcement learning: An introduction. MIT press.

Reinforcement Learning

■ Estimate the Value Function

DP Backup

MC Backup

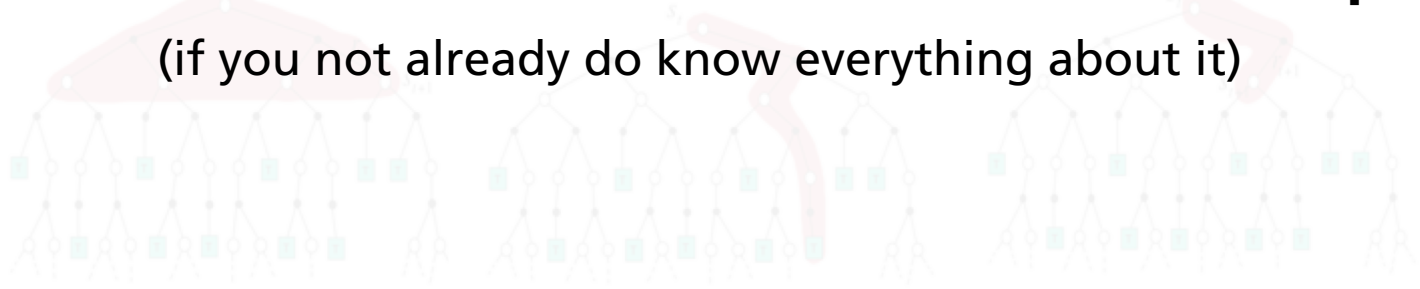
TD Backup

$$V(S_t) \leftarrow \sum_{\pi} [R_{t+1} + \gamma V(S_{t+1})]$$

$$V(S_t) \leftarrow V(S_t) + \gamma (G_t - V(S_t))$$

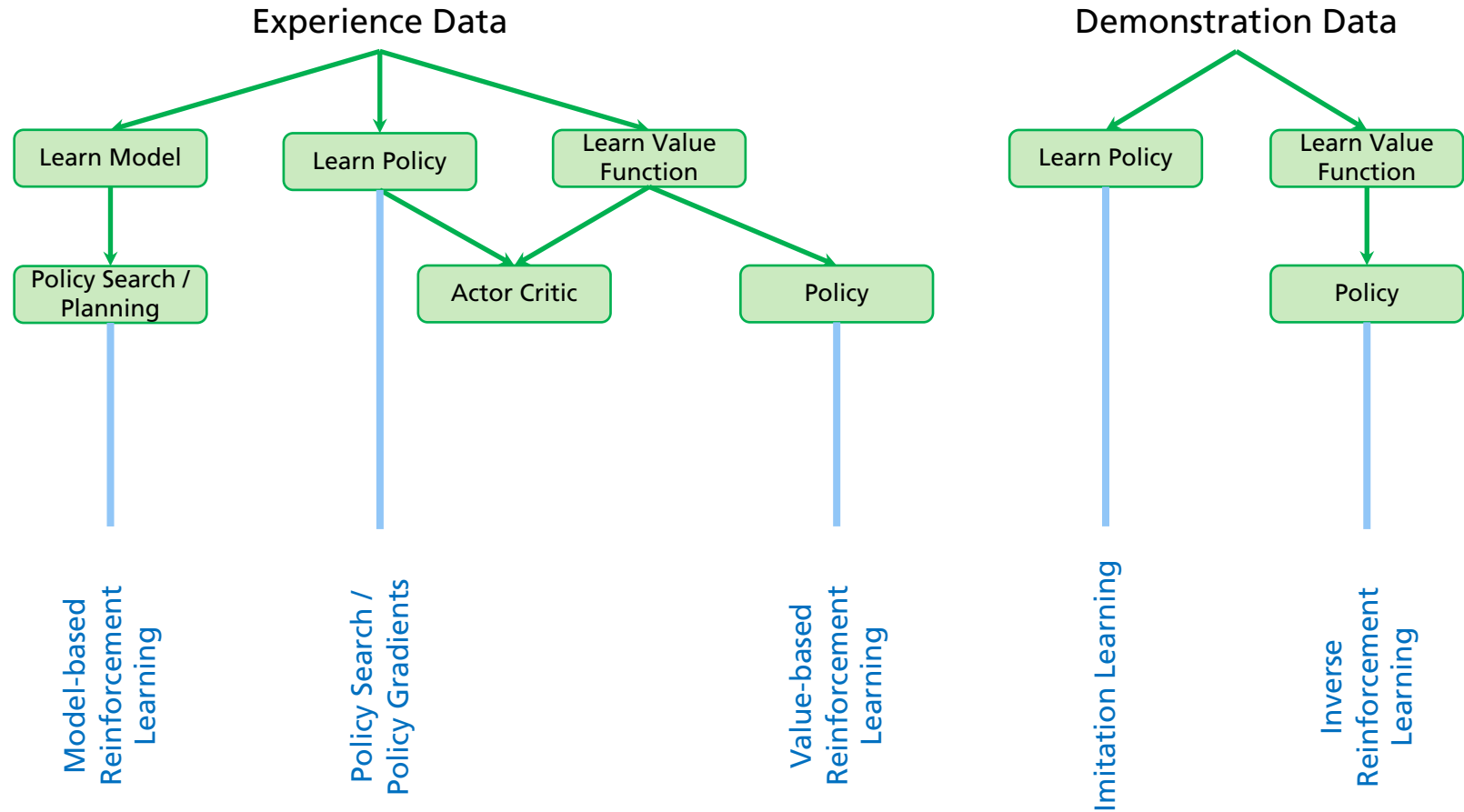
$$V(S_t) \leftarrow V(S_t) + \gamma (R_{t+1} + V(S_{t+1}) - V(S_t))$$

Please watch available classes online that cover those topics!
(if you not already do know everything about it)

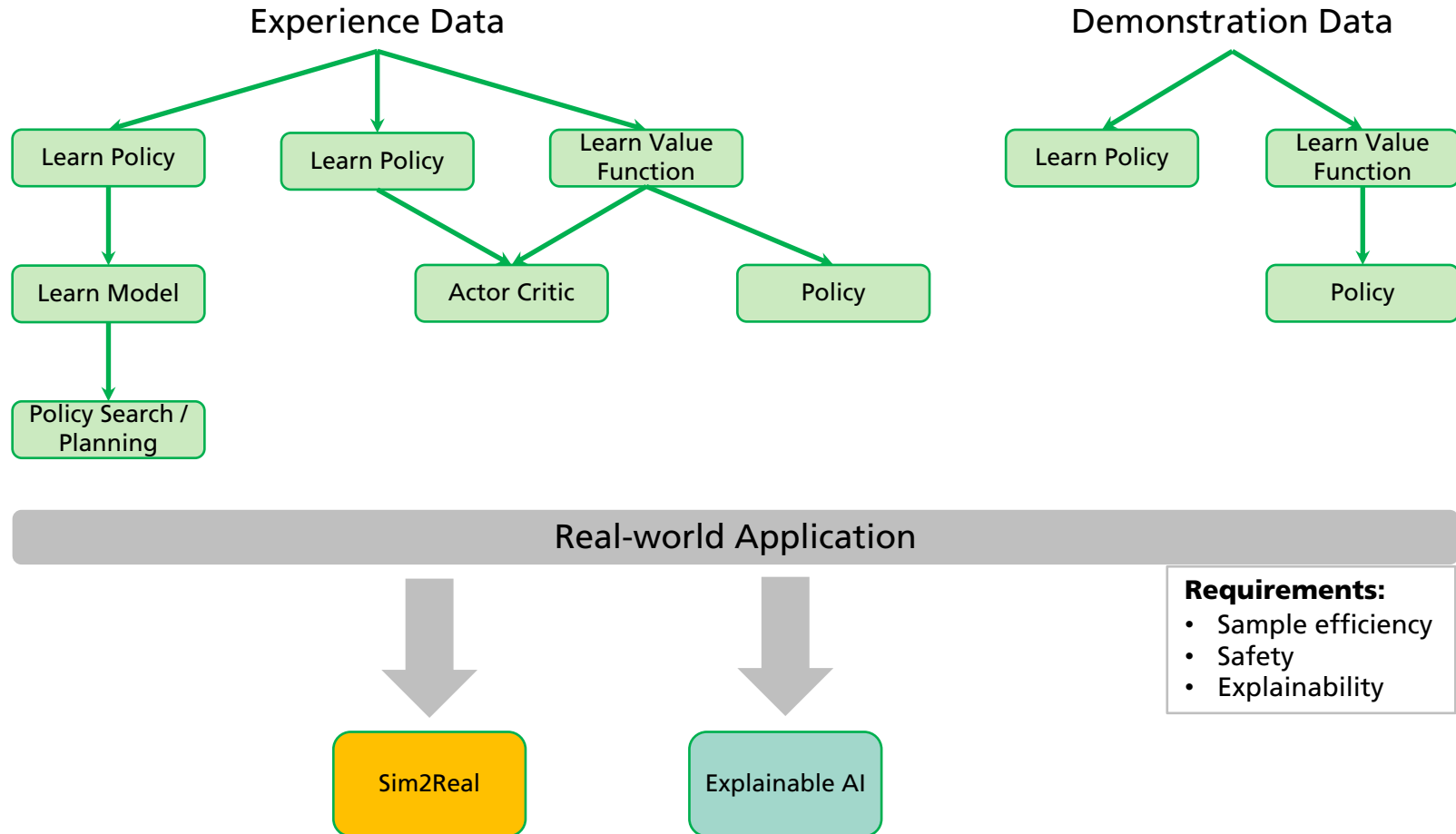


Sutton, R. S., & Barto, A. G. (2018). Reinforcement learning: An introduction. MIT press.

Deep Reinforcement Learning in the Real World



Deep Reinforcement Learning in the Real World

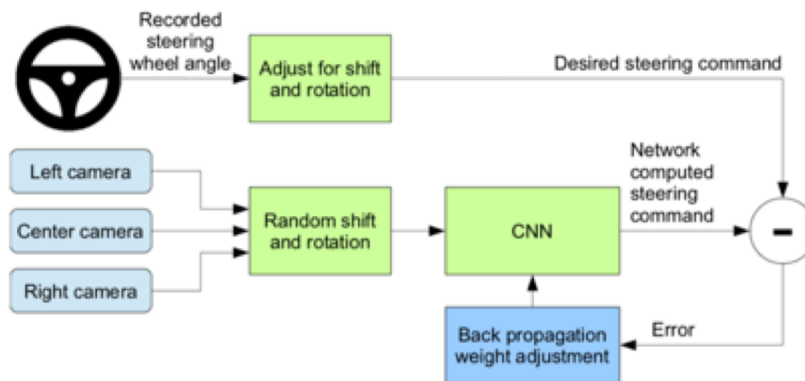


IMITATION LEARNING

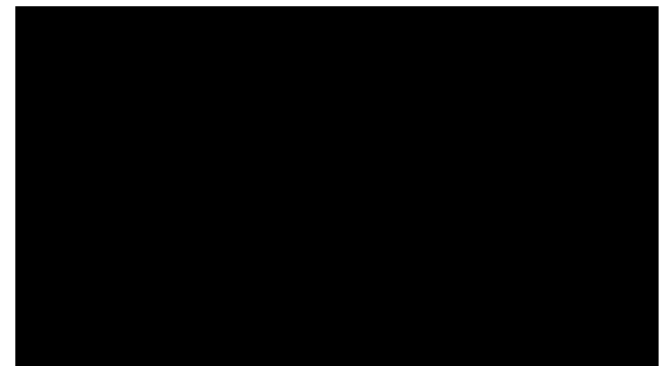
Imitation Learning

Description:

- Learning from demonstrations provided by an expert
- Safe and sample efficient learning
- Two approaches:
 - Direct (Behavior Cloning)
 - Indirect (Inverse Reinforcement Learning)



Bojarski, M., Del Testa, D., Dworakowski, D., Firner, B., Flepp, B., Goyal, P., ... & Zhang, X. (2016). End to end learning for self-driving cars



<https://www.youtube.com/watch?v=-96BEoXJMs0>

Imitation Learning

Keywords (see references section):

- ALVINN
- NVIDIA end-to-end self-driving
- DAGGER
- Conditional Imitation Learning

Optional:

- Inverse Reinforcement Learning

Tips:

- <http://rail.eecs.berkeley.edu/deeprlcourse/>
- <http://www.andrew.cmu.edu/course/10-703/>
- <https://sites.google.com/view/icml2018-imitation-learning/>

Imitation Learning

References:

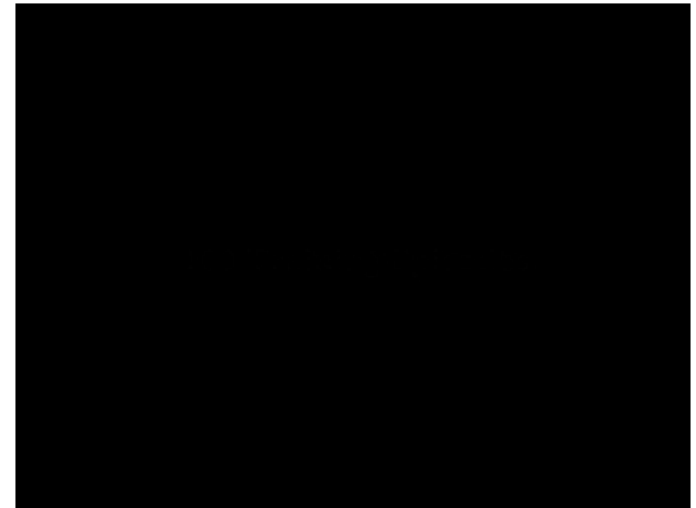
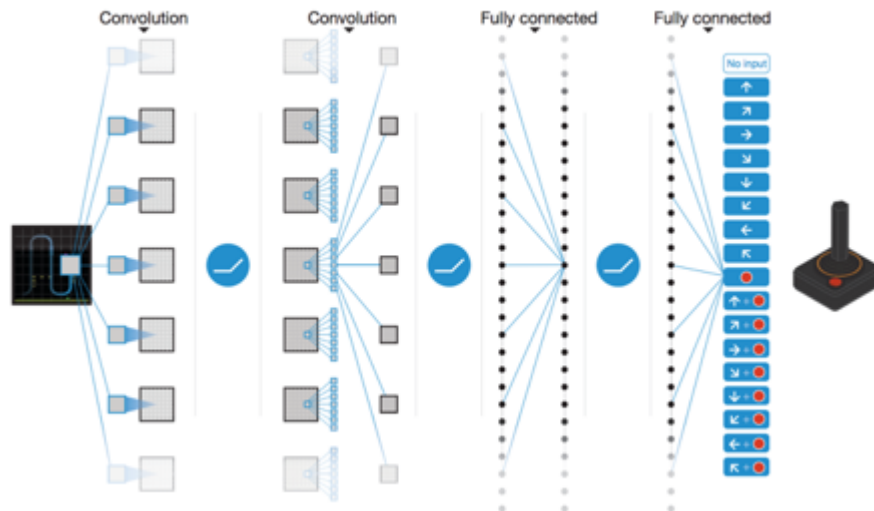
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- <http://heli.stanford.edu>

ADVANCED Q-LEARNING

Advanced Q-Learning

Description:

- Value-based Reinforcement Learning:
 - Estimate the optimal action value function $Q^*(s, a)$
 - Select best action in any state s following a greedy policy



Mnih, V., Kavukcuoglu, K., Silver, D., Rusu, A. A., Veness, J., Bellemare, M. G., ... & Petersen, S. (2015). Human-level control through deep reinforcement learning. Nature, 518(7540), 529

<https://www.youtube.com/watch?v=TmPfTpjtdgg>

Advanced Q-Learning

Keywords (see references section):

- Q-learning, DQN, Double DQN
- Prioritized Experience Replay
- Dueling Networks
- Deep Recurrent Q-Learning

Optional:

- Present the findings of the paper: „Deep Reinforcement Learning and the Deadly Triad“

Tips:

- <http://rail.eecs.berkeley.edu/deeprlcourse/>
- <http://www.andrew.cmu.edu/course/10-703/>

Advanced Q-Learning

References:

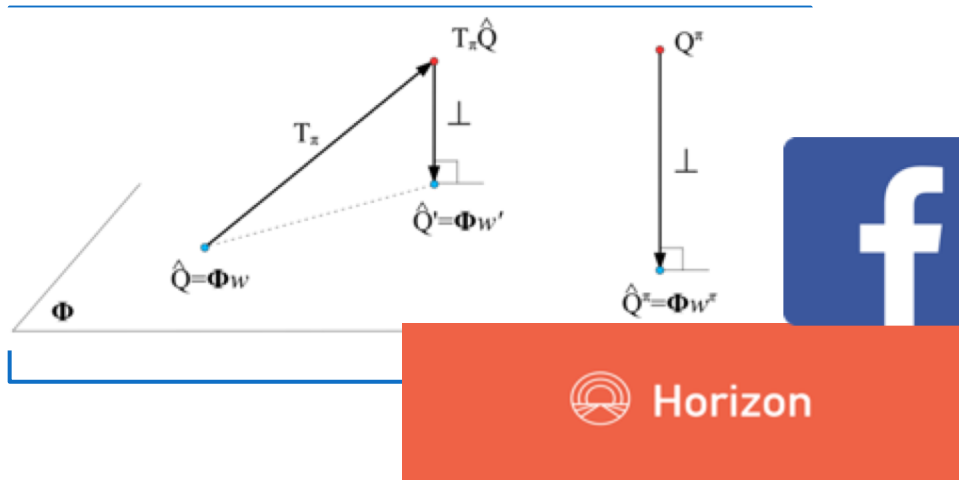
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BATCH RL

Batch RL

Description:

- Batch RL decouples data collection from policy learning
- We learn a new policy offline using available data, without continuous interaction with the simulator or the real system



Gauci, J., Conti, E., Liang, Y., Virochsiri, K., He, Y., Kaden, Z., ... & Ye, X. (2018). Horizon: Facebook's Open Source Applied Reinforcement Learning Platform

<https://www.youtube.com/watch?v=i8Cnas7QrMc&t=1s>

Batch RL

Keywords (see references section):

- Least Squares Policy Iteration
- (Neural) Fitted Q Iteration
- Facebook's Horizon Framework

Optional:

- Counterfactual Policy Evaluation

Tips:

- <http://www.intelligence.tuc.gr/~lagoudakis/DOCS/thesis.pdf>
- [Batch Reinforcement Learning presentation by Alan Fern, Oregon State University](#)

Batch RL

References:

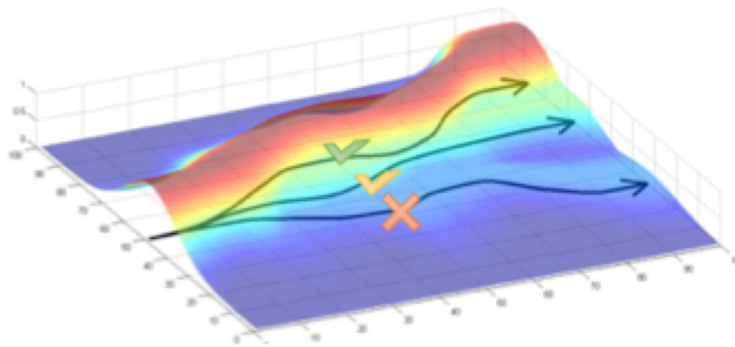
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POLICY SEARCH

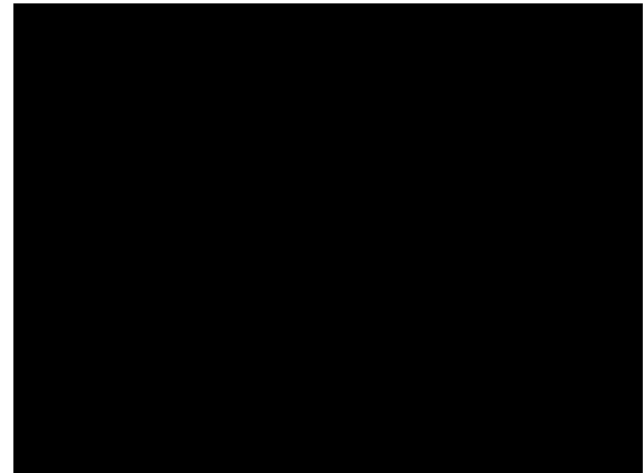
Policy Search

Description:

- For problems with continuous action spaces it is easier to learn/optimize the policy directly, instead of estimating the value function



Deep RL Class 2018, Sergey Levine



<https://www.youtube.com/watch?v=5oBAYbOF2Qo>

Policy Search

Keywords (see references section):

- Finite Differences, Cross Entropy, Augmented Random Search
- Policy Gradient Theorem, REINFORCE, G(PO)MDP, PoWER
- Variance reduction and baseline selection

Optional:

- Natural Policy Gradient

Tips:

- <http://rail.eecs.berkeley.edu/deeprlcourse/>
- <http://www.andrew.cmu.edu/course/10-703/>
- <https://spinningup.openai.com/en/latest/>
- <https://icml.cc/2015/tutorials/PolicySearch.pdf>

Policy Search

References:

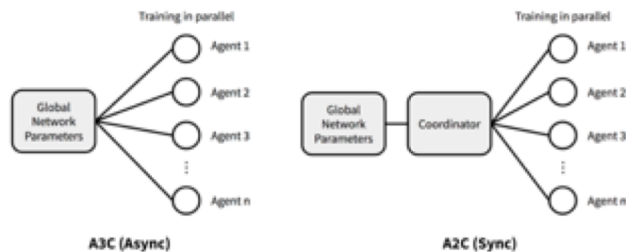
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ACTOR CRITIC

Actor Critic

Description:

- Monte-Carlo policy gradients have high variance
- A critic (baseline) is used for variance reduction



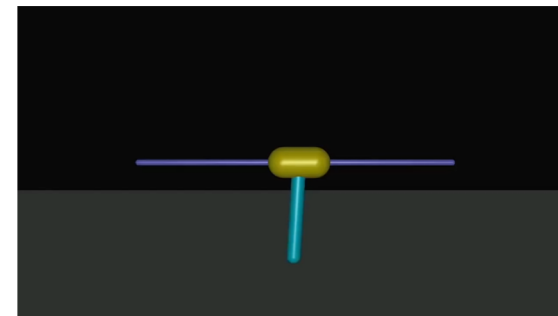
<https://lilianweng.github.io/lil-log/2018/04/08/policy-gradient-algorithms.html>



<https://www.youtube.com/watch?v=8RILnqPx01s>



<https://www.youtube.com/watch?v=KJ15iGGJFvQ>



<https://www.youtube.com/watch?v=Ajjc08-iPx8>

Actor Critic

Keywords (see references section):

- Actor-Critic Framework
- TRPO, PPO, PPO with clipped rewards, A3C/A2C
- DDPG, TD3

Optional:

- ACTKR

Tips:

- <http://rail.eecs.berkeley.edu/deeprlcourse/>
- <http://www.andrew.cmu.edu/course/10-703/>
- <https://spinningup.openai.com/en/latest/>
- <https://lilianweng.github.io/lil-log/2018/04/08/policy-gradient-algorithms.html>

Actor Critic

References:

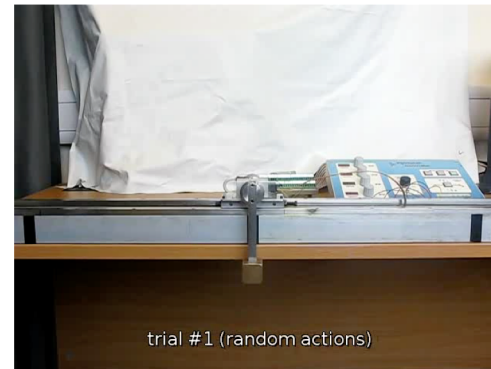
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- Mnih, V., Badia, A. P., Mirza, M., Graves, A., Lillicrap, T., Harley, T., ... & Kavukcuoglu, K. (2016, June). Asynchronous methods for deep reinforcement learning. In *International conference on machine learning* (pp. 1928-1937). link: <http://www.jmlr.org/proceedings/papers/v48/mniha16.pdf>

MODEL-BASED RL

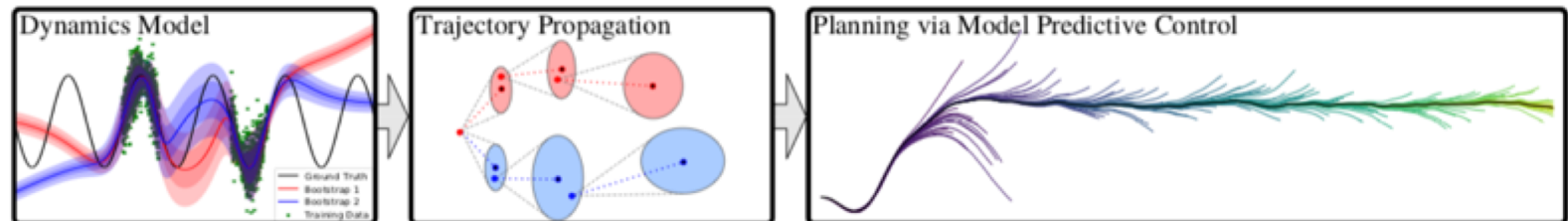
Model-based RL

Description:

- Learn a model of the MDP dynamics
- Use the model for planning



<https://www.youtube.com/watch?v=XiigTGKZfs&t=46s>



Chua, K., Calandra, R., McAllister, R., & Levine, S. (2018). Deep Reinforcement Learning in a Handful of Trials using Probabilistic Dynamics Models

Model-based RL

Keywords (see references section):

- Monte Carlo Tree Search
- Model Predictive Control
- PILCO, Deep PILCO, Neural Network Dynamics Models

Optional:

- Present the findings of the paper: „Differentiable MPC for End-to-end Planning and Control“

Tips:

- <http://rail.eecs.berkeley.edu/deeprlcourse/>
- <http://www.andrew.cmu.edu/course/10-703/>
- <https://github.com/nrntsis/PILCO>

Model-based RL

References:

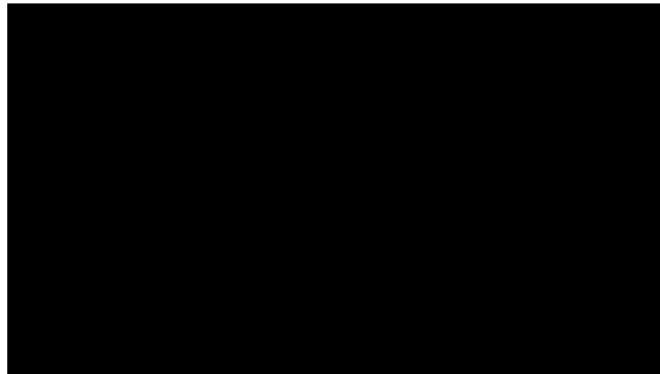
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- Chua, K., Calandra, R., McAllister, R., & Levine, S. (2018). Deep Reinforcement Learning in a Handful of Trials using Probabilistic Dynamics Models. *arXiv preprint arXiv:1805.12114*: <https://arxiv.org/abs/1805.12114>
- Amos, B., Jimenez, I., Sacks, J., Boots, B., & Kolter, J. Z. (2018). Differentiable MPC for End-to-end Planning and Control. In *Advances in Neural Information Processing Systems* (pp. 8299-8310).: <https://www.cc.gatech.edu/~bboots3/files/DMPC.pdf>
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RL AND CONTINUOUS CONTROL

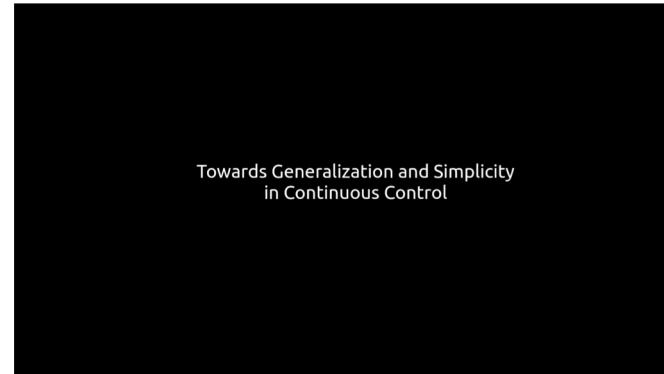
RL and Continuous Control

Description:

- There is a clear connection between reinforcement learning with continuous action spaces and modern (optimal) control theory
- Recently, a large set of continuous control robotic simulations have been developed for benchmarking deep RL algorithms
- Empirical results show that combining classical control theory designs and DRL can lead to faster learning



<https://www.youtube.com/watch?v=OBcjhp4KSgQ&t=26s>



<https://www.youtube.com/watch?v=frojcskMkkY>

RL and Continuous Control

Keywords (see references section):

- OpenAI Gym, MuJoCo, DeepMind Control Suite, pybullet
- Present the findings of the papers: „Structured control nets for deep reinforcement learning“ and „Towards Generalization and Simplicity in Continuous Control“

Optional:

- Present the findings of the papers: „A tour of reinforcement learning: The view from continuous control“ and „Emergent Complexity via Multi-agent Competition“

Tips:

- <https://www.youtube.com/watch?v=nF2-39a29Pw>

RL and Continuous Control

References:

- Recht, B. (2018). A Tour of Reinforcement Learning: The View from Continuous Control. *arXiv preprint arXiv:1806.09460*: <https://arxiv.org/abs/1806.09460>
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- Srouji, M., Zhang, J., & Salakhutdinov, R. (2018). Structured control nets for deep reinforcement learning. *arXiv preprint arXiv:1802.08311*. ArXiv: <https://arxiv.org/abs/1802.08311>
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EXPLAINABLE RL (AND AI)

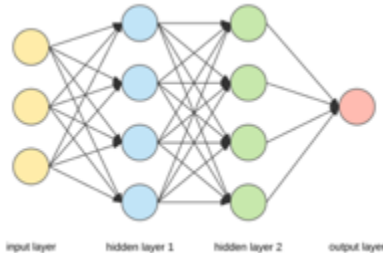
Explainable RL (and AI)

Description:

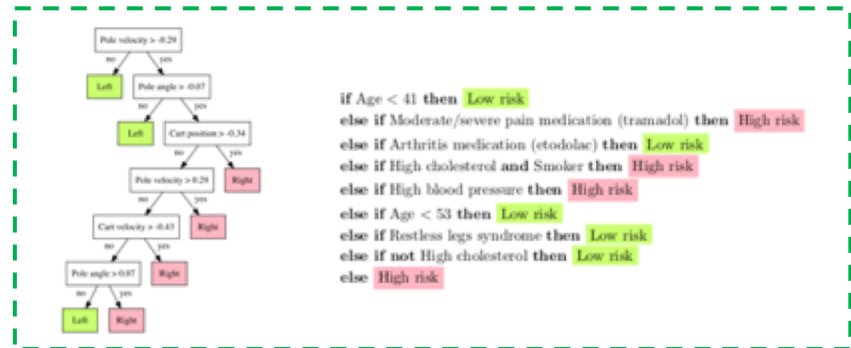
- AI systems are not perfect: accidents can always happen
- In case of unwanted behavior, the AI system needs to be interpretable, i.e. we should be able to understand what went wrong



<https://www.theverge.com/2018/6/22/17492320/safety-driver-self-driving-uber-crash-hulu-police-report>



<https://towardsdatascience.com/applied-deep-learning-part-1-artificial-neural-networks-d7834f67a4f6>



if Age < 41 then Low risk
else if Moderate/severe pain medication (tramadol) then High risk
else if Arthritis medication (etodolac) then Low risk
else if High cholesterol and Smoker then High risk
else if High blood pressure then High risk
else if Age < 53 then Low risk
else if Restless legs syndrome then Low risk
else if not High cholesterol then Low risk
else High risk

Bastani, O., Pu, Y., & Solar-Lezama, A. (2018). Verifiable Reinforcement Learning via Policy Extraction

Explainable RL (and AI)

Keywords (see references section):

- LIME, VIPER
- Salient maps for Reinforcement learning (hint: start with the paper and code from: „Visualizing and Understanding Atari Agents“)

Optional:

- Present the findings of the paper: „ Explainable artificial intelligence: Understanding, visualizing and interpreting deep learning models“

Tips:

- <https://www.hhi.fraunhofer.de/en/departments/vca/research-groups/machine-learning/research-topics/interpretable-machine-learning.html>
- <https://greydanus.github.io/2017/11/01/visualize-atari/>

Explainable RL (and AI)

References:

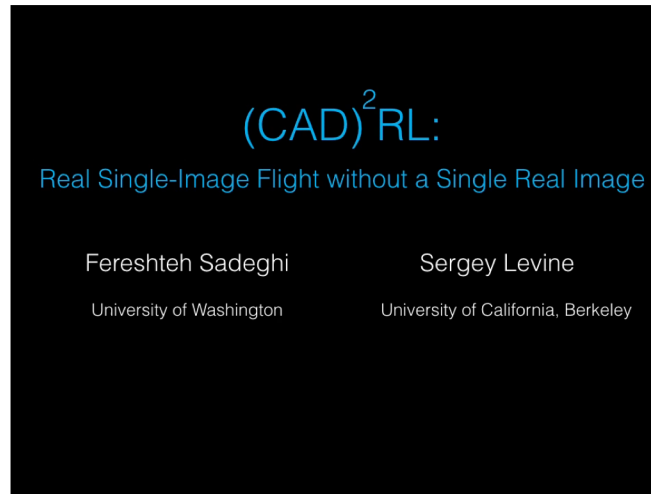
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ACM: https://arxiv.org/pdf/1602.04938.pdf?_hstc=200028081.1bb630f9cde2cb5f07430159d50a3c91.1523923200081.1523923200082.1523923200083.1&_hssc=200028081.1.1523923200084&_hsfp=1773666937
<http://www.heatmapping.org>
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- Greydanus, S., Koul, A., Dodge, J., & Fern, A. (2017). Visualizing and understanding atari agents. *arXiv preprint arXiv:1711.00138*.

SIM2REAL

Simulation to Reality Transfer

Description:

- Deep RL algorithms usually require a large number of samples
- The obvious solution is to use simulators to learn a policy and then fine-tune in the real system



<https://www.youtube.com/watch?v=nXBWmzFrj5s&t=218s>

Simulation to Reality Transfer

Keywords (see references section):

- Domain/Dynamics Randomization
- EPOPT, Progressive Nets, CAD2RL
- Present the findings of the paper: „Driving Policy Transfer via Modularity and Abstraction“

Optional:

- Present the findings of the paper: „Using simulation and domain adaptation to improve efficiency of deep robotic grasping“

Tips:

- <http://rail.eecs.berkeley.edu/deeprlcourse/>
- <http://www.andrew.cmu.edu/course/10-703/>

Simulation to Reality Transfer

References:

- Peng, X. B., Andrychowicz, M., Zaremba, W., & Abbeel, P. (2018, May). Sim-to-real transfer of robotic control with dynamics randomization. In *2018 IEEE International Conference on Robotics and Automation (ICRA)* (pp. 1-8). IEEE.: <https://arxiv.org/pdf/1710.06537.pdf>
- Tobin, J., Fong, R., Ray, A., Schneider, J., Zaremba, W., & Abbeel, P. (2017, September). Domain randomization for transferring deep neural networks from simulation to the real world. In *Intelligent Robots and Systems (IROS), 2017 IEEE/RSJ International Conference on* (pp. 23-30). IEEE: <https://arxiv.org/pdf/1703.06907.pdf>
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- Müller, M., Dosovitskiy, A., Ghanem, B., & Koltun, V. (2018). Driving policy transfer via modularity and abstraction. *arXiv preprint arXiv:1804.09364*. ArXiv: <https://arxiv.org/abs/1804.09364>
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What do you need to do? ...and what do you get?

Tasks (5 ECTS):

1. A **30 minute presentation** (+10 mins for questions, language: English)
 - **Being present** for the talks of others participants
 - **Active engagement** through listening, discussion and questioning
2. Writing a **thesis** (8 pages NeurIPS or ICLR style)

Deadline:

- **Slides:** a week prior to your presentation
- **Thesis:** prior to start of classes in winter term, i.e., Mid-Oct, 2019

Certificate:

- 5 ECTS graded (presentation + thesis)
- 2.5 ECTS benotet (presentation + demo)

What do you need to do?

What else makes sense?

Show a Demo / put a live demo in your presentation:

- Either your own or just copy one from the internet (do not forget proper referencing for the latter case)
- While there are Jupyter-Notebooks for almost any machine learning method there is a lack for RL methods
 - However, there is many plan-python available on github

Why should you attend?

Goals of the seminar

Goals of the seminar

Why?

■ **Understand** a topic:

- Motivation (why do we need it?)
- History (why & how did we get there?)
- Algorithm (how does it work? & advantages/disadvantages)
- State of the Art (what is currently happening out there?)

■ **Explain** a topic:

- From easy to hard (start with the initial and easy to understand problem motivation)
- From common-sense to unknown territory (build bridges among the topics)

■ **Present** a topic:

- Present without textbook to an audience
- Having fun to present, explain, and teach 😊

Tips & tricks

Preparation

Going familiar with the topic:

- **Contact your supervisor early:**
 - Getting your questions answered
 - Get tips for keywords, papers, examples, literature early
- Start more than 3 to 4 weeks before your presentation
- Search for real-world applications

Presentation:

- Let **others** look at them (language, mistakes, etc.)
- **Send the slides** to us latest a week before your presentation
- **Perform a dry-run!** (Talking fluidly, full sentences, „ehm“,...)
- Be on time to (your own) presentation(s) (and take care of laptop, board, etc. by yourself)
- Check font size and colors on the presenter

Tips & tricks

Slides

- No #wall-of-text – be precise and put only what is necessary
- Use your own words
- No plagiarism!
 - **Any** used **references** as footnote or reference (be careful also with pictures!)
- Applications:
 - We have a template for MS Office
 - We also have some “nighly-build“ latex style

Tips & tricks

Slides

- **Not more than a single „major-idea“ per slide**
(what is the take-away from this slide?)
- Not full sentences
- Font size: minimal 20, maximal 28
- Picture (colors are so beautiful!)
- Animations must make sense!
- Expect to need 1-2 minutes per slide (only you know your slides a-priori)
- Take care to be synchronous with what you have on your slides
- Slide numbers on each slide; name & topic at least on starting slide

Tips & tricks

Presentation

- Listeners can only listen carefully for about 20-30 minutes – that might be a problem for you
- Tips:
 - Change topics
 - Pictures, graphs, measurements, videos, etc.
 - (funny/meaningful) citations?
 - Change medium, i.e., use whiteboard
 - **Show something you did**
 - For instance a Live Demo
- Give a summary at the end (main points)

More ideas:

- <http://www.vs.inf.ethz.ch/publ/slides/seminarvortraege.pdf>

Appointments

Topics

	Topic	Date	Author	Download Material
0.	Introduction	April	Christopher Mutschler	
1.	Imitation Learning		Elgiz Bagcilar	
2.	Policy Search		Karthik Shetty	
3.	Actor-Critic		Sujit Sahoo	
4.	Advanced Q-Learning		Christian Klose	
5.	Model-based RL		Srikrishna Jaganathan	
6.	Explainable RL (and AI)		Daniel Luge	
7.	Batch Reinforcement Learning			
8.	Reinforcement Learning and Continuous Control		Sacha Medaer	
9.	Simulation to Reality Transfer			

Appointment

How do we get there

- Doodle to find it
- We will need 2 appointments
 - 1. *Doodle-Poll to check for availability (until April, 30th 2019)*

Please be generous. The results will be a list of available dates for our presentations. You will not be assigned a slot yet!
 - 2. *Doodle-Poll to assign your slot (until May, 12th 2019)*

We take a number of available dates from the 1st poll and you can select the dates for your own presentation according to your exam schedule.
- **Advice:** the more generous you are in the 1st poll the higher is the probability to get along with 2 dates!
- Same holds for the 2nd poll...